



# **Overview of Compliance, Surveillance, and Integrated Baseline Reviews**

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**NAVY CEVM**





# Outline

- Compliance Review
- Integrated Baseline Review (IBR)
- Surveillance Review
- Review timeline





# Compliance Review

Should this contractor's EVM System receive validation and approval for use on all EVM programs?

- Process review, evaluates the processes used for all of the contractor's EVM contracts
- Led by DCMA (or other authorizing agency)
- Minimal participation required from program offices
- Decision based upon:
  - Evaluation of process documentation
  - Interviews with process owners and executors
- Advance Agreement means the contractor can bid on all future contracts claiming an approved EVM System
  - Advantageous for bidding EVM contracts over \$50M which require a contractor to have or pursue EVM system validation





# Integrated Baseline Review (IBR)

Do the govt/contractor have a mutual understanding of scope, does the contractor have an executable baseline that satisfies contract requirements? What are the risks?

- Program review, led by government program office
- Participation from DCMA (or authorizing agency)
- Conducted at program inception, following major contract modification, or after the exercise of significant contract options
- Action Items are developed based upon:
  - Evaluation of the program baseline and associated risks/opportunities
  - Evaluation of the supporting management processes
  - Interviews with CAMs, process owners, program management
- Action items issued and tracked to resolution
  - Serious concerns may delay contract start pending revised planning or could result in contract revision or cancellation





# Surveillance Review

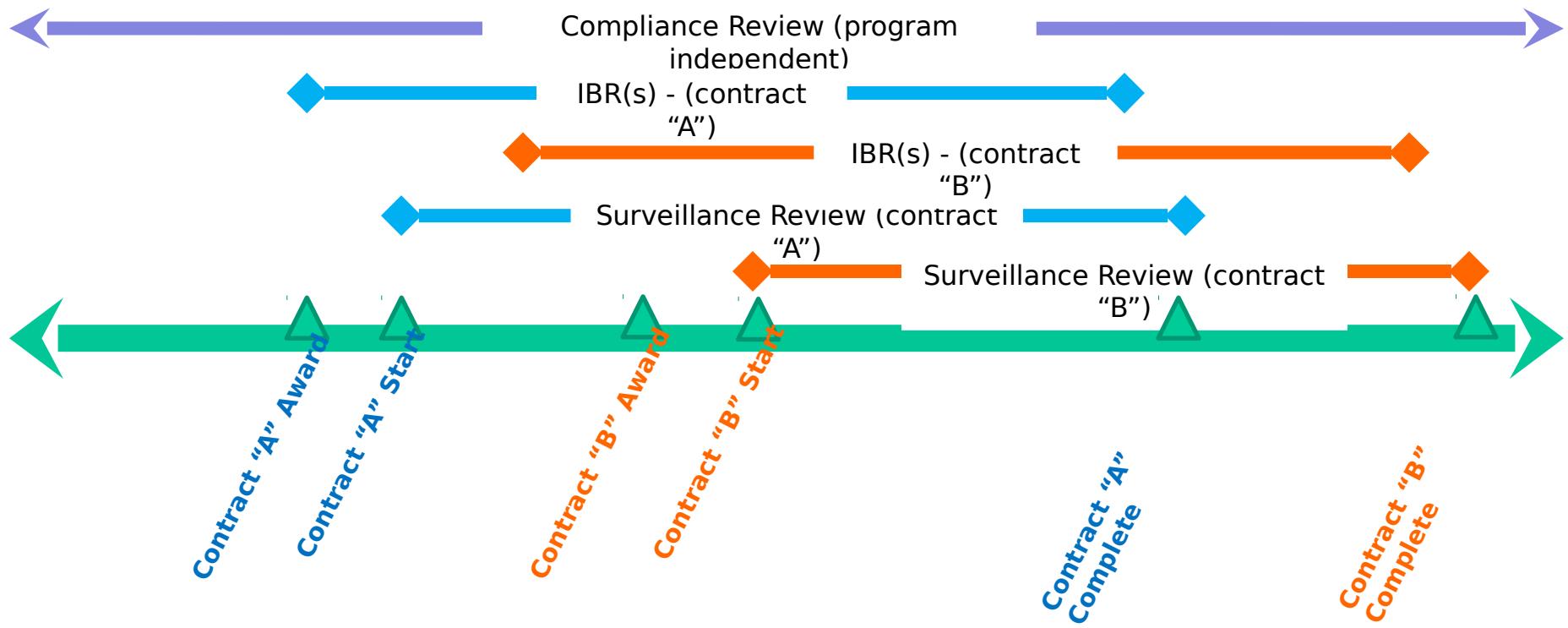
Is the contractor adhering to its approved EVM system?

- Process adherence review, evidenced via program data
- Led by DCMA (or other authorizing agency)
- Active participation from government program office
  - Assist with identification of issues/concerns
  - Assist with resolution of misunderstandings between contractor/DCMA
- Action items & Corrective Action Reports (CARs) issued based upon:
  - Data review
  - Interviews of Control Account Managers (CAMS), process owners, and program management
- Resolution of action items and CARs is tracked to completion
  - Serious and systemic violations may be used to revoke the Advance Agreement





# When do these reviews occur?





# Additional Guidance

- For additional guidance on conducting IBRs, refer to one of the following sources:
  - CEVM IBR Toolkit  
[http://acquisition.navy.mil/home/acquisition\\_one\\_source/cevm](http://acquisition.navy.mil/home/acquisition_one_source/cevm)
  - “The Program Managers’ Guide to the Integrated Baseline Review Process”, available on DAU’s website  
<https://acc.dau.mil/CommunityBrowser.aspx?id=37635>





# Point of Contact

Navy Center for Earned Value Management

(703) 695-0510

[http://acquisition.navy.mil/acquisition\\_one\\_source/  
cevm](http://acquisition.navy.mil/acquisition_one_source/cevm)

